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PTO/SB/21 (08-00)


Approved for use through 10/31/2002. OMB 0051-0031


U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/870,531	
	Filing Date	31 May 2001	
	First Named Inventor	Peter J. Brofman	
	Group Art Unit	2815	
	Examiner Name	James M. Mitchell	
Total Number of Pages in This Submission	20	Attorney Docket Number	FIS9-2000-0412-US1

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. Auto Reply 5/5/03 2. Auto Reply 5/6/03
Remarks Based on the Office Action of 13 August 2003, it appears that pages may be missing from the response dated 6 May 2003. Please telephone the undersigned with advice as to whether remarks that may have been omitted are now present in the file with this retransmission of the original amendment.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Graham S. Jones, II, Reg. No. 20,429 Tel. 845-473-9118
Signature	
Date	15 August 2003

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being sent by Facsimile to the Commissioner for Patents on this date, to the Facsimile transmission Number 703-872-9318.		
		15 August 2003
Typed or printed name	Graham S. Jones, II	
Signature		Date 15 August 2003

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Auto-Reply Facsimile Transmission

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TO:

Fax Sender at 845-485-9399

Fax Information

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Received
Cover
Page

Sent by: J. James S. Jones, II, Attorney; 846-488-8388; May 6 03 10:00AM; Page

FAX

To: TC2800 Before Final
FAX: 1-703-872-9318
Voice Phone Number:

From: Graham R. Jones, H. Attorney at Law
Address: 62 Folsom St. Alameda
Alameda, CA 94501-5069

Part Number (845)483-9399

Voicemail Number: (845) 473-9118

SACRAMENTO TRANSMITTAL
150000 3

[illegible]

S. Bar No. 09/870,531
Filed 31 May 2001
Attorney Docket No.: F159-2000-0412-US1

Following the cover sheet are the original Transmittal Form plus the last five pages of a facsimile transmission in the above application which were being sent. Apparently the transmission was interrupted through no fault of my own during transmission about 8:50 PM last night. It is believed that the only pages missing were the marked copies of the amendments. The failure in transmission was discovered this morning about 10AM.

Respectfully submitted,

Graham S. Jones, Jr.
Reg. No. 20,429

Date: 5/16/62

Page: 1 of 1

Page 1 of 11 | Page

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AUG 7 8 2003

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UNITED STATES
PATENT AND
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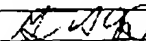
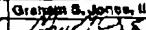
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Received
Cover
Page

Sent By: Graham G. Jones, II, Attorney, 645-485-9390 May 5 ON: 8:42PM Page 1	
Confirmation No.: 1347	
Please take a color page (1) inside this form → (1)	
TRANSMITTAL FORM (For use only for all correspondence after page filing)	
Total Number of Pages in This Submission: 17	
Attachment Number: 08/870,631	
Billing Date: 31 May 2001	
Firm Name/Inventor: Peter J. Brofman	
Group Art Unit: 2815	
Examiner Name: James M. Mitchell	
Attorney Contact Number: FRG-2000-0412-US1	
ENCLOSURES (Indicate all that apply)	
<input type="checkbox"/> Post Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment in Reply <input type="checkbox"/> After Final <input type="checkbox"/> Administrative/Technical <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Examination Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy in Primary Document(s) <input type="checkbox"/> Supplemental Priority/Continuation Application <input type="checkbox"/> Application in Pending State under 37 CFR 1.55 or 1.53	<input type="checkbox"/> Assignment Record (Per an Application) <input type="checkbox"/> Drawings <input type="checkbox"/> Laboratory-Related Papers <input type="checkbox"/> Photos <input type="checkbox"/> Petition to Commence a Prosecution Application <input type="checkbox"/> Request of Attorney Representation Change of Correspondence Address <input type="checkbox"/> Printed Declaration <input type="checkbox"/> Request for Reissue <input type="checkbox"/> Old Number of COO(s) _____ Remarks: _____
REGISTRARS OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual Name: _____ Signature: _____ Date: _____	Graham S. Jones, II, Reg. No. 20,429  5 May 2003
CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being sent by e-mail to the Commissioner for Review on this date, 5 May 2003.	
Typed or printed name: Graham S. Jones, II Date: 5 May 2003	
Signature: 	

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PTO/95/21 (08-00)

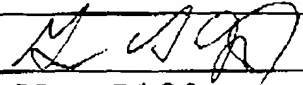
Approved for use through 10/31/2002. OMB 0651-0031

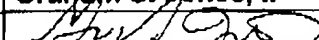
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/870,531
	Filing Date	31 May 2001
	First Named Inventor	Peter J. Brofman
	Group Art Unit	2815
	Examiner Name	James M. Mitchell
Total Number of Pages in This Submission: 17		Attorney Docket Number FIS9-2000-0412-US1

ENCLOSURES <i>(check all that apply)</i>		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks: FAX RECEIVED AUG 15 2003 TECHNOLOGY CENTER 2001		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Graham S. Jones, II, Reg. No. 20,429
Signature	
Date	5 May 2003

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		5 May 2003
Typed or printed name	Graham S. Jones, II	
Signature		Date 5 May 2003

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Confirmation No.: 1347

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL
for FY 2003**

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27TOTAL AMOUNT OF PAYMENT (\$)**0****Complete If Known**

Application Number	09/870,531
Filing Date	31 May 2001
First Named Inventor	Peter J. Brofman
Examiner Name	James M. Mitchell
Art Unit	2815
Attorney Docket No.	FIS9-2000-0412-US1

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METHOD OF PAYMENT (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit
Account
Number
Deposit
Account
Name

09-0458

International Business
Machines Corporation

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☒ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 750	2001 375	Utility filing fee	
1002 330	2002 165	Design filing fee	
1003 520	2003 260	Plant filing fee	
1004 750	2004 375	Reissue filing fee	
1005 160	2005 80	Provisional filing fee	

SUBTOTAL (1)

(\$)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee from below	Fee Paid
24	-24** = 0		
4	-4** = 0		

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 20
1201 84	2201 42	Independent claims in excess of 3
1203 280	2203 140	Multiple dependent claim, if not paid
1204 84	2204 42	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$)**0**

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	1063 130	Non-English specification	
1812 2,520	1812 2,520	For filing a request for ex parte reexamination	
1804 920*	1804 920*	Requesting publication of SIR prior to Examiner action	
1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action	
1251 110	2251 55	Extension for reply within first month	
1252 410	2252 205	Extension for reply within second month	
1253 930	2253 465	Extension for reply within third month	
1254 1,450	2254 725	Extension for reply within fourth month	
1255 1,970	2255 985	Extension for reply within fifth month	
1401 320	2401 160	Notice of Appeal	
1402 320	2402 160	Filing a brief in support of an appeal	
1403 280	2403 140	Request for oral hearing	
1451 1,510	1451 1,510	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 1,300	2453 650	Petition to revive - unintentional	
1501 1,300	2501 650	Utility issue fee (or reissue)	
1502 470	2502 235	Design issue fee	
1503 630	2503 315	Plant issue fee	
1460 130	1460 130	Petitions to the Commissioner	
1807 50	1807 50	Processing fee under 37 CFR 1.17(g)	
1808 180	1808 180	Submission of Information Disclosure Stmt	
8021 40	8021 40	Recording each patent assignment per property (times number of properties)	
1809 750	2809 375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 750	2810 375	For each additional invention to be examined (37 CFR 1.129(b))	
1801 750	2801 375	Request for Continued Examination (RCE)	
1802 900	1802 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$)

SUBMITTED BY

Name (Print/Type)	Graham S. Jones, II	Registration No. (Attorney/Agent)	20,429	Telephone (845) 473-9118
Signature		Date	5 May 2003	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

Serial No.:	09/870,531	Art Unit:	2827
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 to Facsimile Transmission Number 703-872-9318

5 May 2003

Date of Deposit

Graham S. Jones, II, Reg. No. 20,429

Name of Person Making Deposit



Signature

5 May 2003

Date

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS:	Peter J. Brofman et al.	DATE:	5 May 2003
SERIAL NO:	09/870,531	ART UNIT:	2827
FILING DATE:	31 May 2001	EXAMINER:	James M. Mitchell
CONFIRMATION NO.:	1347	DOCKET NO.:	FIS9-2000-0412-US1
TITLE:	Method of Manufacture of Silicon Based Package and Device Manufactured Thereby	ATTORNEY:	Graham S. Jones, II 42 Barnard Avenue Poughkeepsie, NY 12601-5023

AMENDMENT

Box Non-Fee Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

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AUG 15 2003

TECHNOLOGY CENTER 2800

Your Honor:

In response to the Office Action of 4 February 2003, please amend the above-identified application as follows:

FIS9-2000-0412-US1

- 1 -